

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gregory E. BURNS et al. § Confirmation No.: 6651

Serial No.: 10/691,350 § Group Art Unit: 2111

Filed: 10/22/2003 § Examiner: G. A. Auve

For: Hot Plug Storage Drive § Docket No.: 200301844-2

## PETITION TO CORRECT INVENTORSHIP BY THE ADDITION OF INVENTOR (37 CFR 1.48(a) AND/OR (c)

Mail Stop Petitions Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Date: December 21, 2005

Sir:

Applicants for the above-identified patent application submit this Petition under 37 CFR § 1.48(a) to correct the incorrect original naming of inventors in the Declaration. Applicants request that Alejandro R. Martinez, Jr., be added as an inventor of this issued patent.

Attached is a Statement of Lack of Deceptive Intent by Person Being Added as an Inventor, Assignee Consent and a Declaration of each of the actual inventors. Note that one of the inventors, David J. Burque, has refused to sign the Declaration. Thus, filed herewith is a Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor (37 C.F.R. 1.47).

The Commissioner is hereby authorized to charge Deposit Account 08-2025, Hewlett-Packard Company, in the amount of \$130 for the filing of this Petition.

12/28/2005 SSITHIB1 00000118 082025 10691350 01 FC:1464 130.00 DA

> HEWLETT-PACKARD COMPANY Intellectual Property Administration Legal Dept., M/S 35 P.O. Box 272400 Fort Collins, CO 80527-2400

Respectfully submitted,

/Jonathan M. Harris PTO Reg. No. 44,144 CONLEY ROSE, P.C. (713) 238-8000 (Phone)

(713) 238-8008 (Fax)

ATTORNEY FOR APPLICANTS



## ORIGINAL

**HEWLETT-PACKARD COMPANY** Intellectual Property Administration P.O. Box 272400 Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO.

200301844-2

Inventor(s):

Gregory E. BURNS et al.

Application No.: 10/691,350

Filing Date:

10/22/2003

Confirmation No.: 6651

Examiner: G. A. Auve

Group Art Unit: 2111

Title: HOT PLUG STORAGE DRIVE

**Mail Stop Amendment Commissioner For Patents** PO Box 1450

Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

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X Other P	Petition to Correct Inven		-			SMA	II E	UTITV		<del>-</del> Φ	130
· (1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	HIGH	(4) EST NU		PRE	(5) SENT		(6) ATE		(7) DITIONAL FEES
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INDEP. CLAIMS		MINUS				=	0	х	\$200	\$	0
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·		T	OTAL A	DITIO	NAL FEE	FOR	THIS A	AMEN	OMENT	\$	130

Charge \$\frac{130}{130}\$ to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit: 12/21/2005

Signature

Respectfully submitted,

Attorney/Agent for Applicant(s)

Reg No.: 44,144

onathan M. Harris

Date: 12/21/2005

Telephone: (713) 238-8000

Rev 10/05 (TransAmd)



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gregory E. BURNS et al. § Confirmation No.: Unknown

999999 10/691,350 Serial No.: Group Art Unit: Unknown

Filed: 10/22/2003 Examiner: Unknown

For: Hot Plug Storage Drive Docket No.: 200301844-2

#### STATEMENT OF LACK OF DECEPTIVE INTENT BY PERSON BEING ADDED AS AN INVENTOR

Mail Stop Petition Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Date: May \_\_\_\_, 2004

Sir:

Alejandro R. MARTINEZ, Jr., does hereby declare that:

- I was erroneously omitted as a named inventor in the aboveidentified patent application at the time it was filed. This error arose without any deceptive intention on my part.
  - 2. Further, I declare not.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Gregory E. BURNS et al. Applicants: Confirmation No.: 6651

തതതതതത Serial No.: 10/691,350 Group Art Unit: 2111

Filed: 10/22/2003 Examiner: G. A. Auve

For: Hot Plug Storage Drive Docket No.: 200301844-2

#### ASSIGNEE CONSENT

Mail Stop Petition Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned, being Assignee of the entire interest of the aboveidentified patent application by virtue of a previously filed assignment, hereby consents to a correction of inventorship to include Alejandro R. MARTINEZ, Jr. as an actual inventor along with the original named inventors, Gregory E. BURNS, Richard J. PALMER, Cynthia D. MILLS, John H. MARINO, David J. BURQUE, William K. MILLER and Eric R. EDSTROM, in connection with the captioned application.

The undersigned is empowered to act on behalf of the Assignee.

HEWLETT-PACKARD DEVELOPMENT COMPANY L.P.

Date: 12-19-2005 By:

> Name: Guy J. Kelley Title:

**Associate General Counsel** 

	ntor, I hereby declare that:		
y residence/post offic	e address and citizenship are a	s stated below next t	to my name;
pelieve I am the originalint Inventor (if plural stent is sought on the OT PLUG STORAGE D		ly one name is listed e subject matter whi	below) or an original, first a ch is claimed and for which
e specification of whi	ch is attached hereto unless th	e following box is ch	lecked:
(X) was filed on 10	0 <u>/22/2003</u> as US Applic	ation No. or PCT Inte	ernational Application
Number 10/69		ed on	
cluding the claims, as	ave reviewed and understood s amended by any amendment which is material to patentabili	(s) referred to above	e. Lacknowledge the duty
neign Application(s) and/or	Claim of Foreign Priority		-
ventor(s) cortificate listed b	y benefits under Title 35, United State elow and have also identified below a e apptication on which priority is claim	ny foreign application for i	any foreign application(s) for patent patent or inventor(s) certificate hav
COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
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false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor:	Gregory E. BURNS	Citizenship: US	
Residence:	7 Teak Mill Place, The Woodland	ds, Texas, 77382, US	
Post Office Address:	SAME AS RESIDENCE		
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Inventor's Stansture		Dale	
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DECLARATION AND P		ATTORNEY DOCKET NO. 200	301844-2
FOR PATENT APPLICA	TION (continued)		OP
		115	
Full Name of joint inventor:	Richard J. PALMER	Citizenship: US	DEC 2 7
Residence:	203 Maple Path Place, The W	oodlands, Texas, 77382, US	1_
Post Office Address	SAME AS RESIDENCE		
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Inventor a Signature		Date .	
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Full Name of joint inventor:	Cynthia D. MILLS	Citizenship: US	
Residence:	36131 FM 149, Pinehurst, Te	xas, 77362, US	-,,,,,,,,,,
Post Office Address:	SAME AS RESIDENCE		
Inventor's Signature		Date	
Full Name of joint inventor:	John H. MARINO	Citizenship: US	
Residence:	14610 Sandler Court, Cypres	s, Texas, 77429, US	
Post Office Address:	SAME AS RESIDENCE		
		·	
Involvor's Signature		Date	
		•	
Full Name of Joint Inventor:	David J. BURQUE	Citizenship: US	
Residence:	8206 Red Church Drive, Sprin	ng, Texas, 77379. US	
Post Office Address:	SAME AS RESIDENCE		
1001 Gillet Vernera			
Inventor's Signature		Date	
Full Name of joint Inventor:	William K MILLER	Citizenship: US	
Residence:	3140 Meander Circle, Colorad	do Springs, Colorado, 80917, US	
Post Office Address:	SAME AS RESIDENCE		
LOSI CHINGS MODIFIES	Orange Ho   Editoria		
Inventor's Signature		Date	
Full Name of Joint Inventor:	Eric R. EDSTROM	Citizenship: US	
Residence:		orado Springs, Colorado, 80921, US	
	SAME AS RESIDENCE		
Post Office Address:	SAME AS RESIDENCE		
Inventor's Signature		Date	
Full Name of Joint Inventor:	Alejandro R. MARTINEZ, Jr.	Citizenship: US	
	12718 Copeland, Houston, T		· · · · · · · · · · · · · · · · · · ·
Residence:	···		
Post Office Address:	SAME AS RESIDENCE		
Inventor's Signature		Date	

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# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (continued)

ATTORNEY DOCKET NO. 200301844-2

Full Name of joint Inventors	Richard J. PALMER	Citizenship: US
Residence:	203 Maple Path Place, The Woo	dlands, Texas, 77382, US
Post Office Address:	SAME AS RESIDENCE	
INVOLVE SIGNATURE		Date
Full Name of joint Inventor:	Cynthia D. MILLS	Citizenship: US
Residence:	36131 FM 149, Pinshurst, Texa	s, 77362, US
Post Office Address: .	SAME AS RESIDENCE	
Inventor & Suprature	neh	Date 6-1-04
		Date
Pull Name of joint Inventor:	John H. MARINO	Citizenship: US
Residence:	14610 Sandler Court, Cypress,	
Post Office Address:	SAME AS RESIDENCE	
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Inventor e signature		Duto
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Full Name of Joint Inventor:	David J. BURQUE	Citizenship: US
Reekten cos	8206 Red Church Drive, Spring,	Texas, 77379, US
Post Office Address:	SAME AS RESIDENCE	
Inversor's Signature		
IIIAIBA & ORIMINIA		Date
Bull bloom of total boundary	MANUAL MANUATED	en.,
Full Name of joint Inventor:	William K. MILLER 3140 Meander Circle, Colorado	Chizenship: US
Residence:		OWINGS COMMON BROATINGS
Post Office Address:	SAME AS RESIDENCE	
Ilwelita. a siduatine		Daja
Full Name of Joint Inventors	Eric R. EDSTROM	Citizenship: US
Residence:	15625 Timberside Court, Colors	do Springs, Colorado, 80921, US
Post Office Address:	SAME AS RESIDENCE	
INVENTOR & SIGNATURE		Date
Mall Marie ad Inter toward or	Alelendre D. Marwiniss I.	Olifonnakha, IIP
Pull Name of Joint Inventors	Alejandro R. MARTINEZ, Jr. 12718 Copeland, Houston, Tex	Citizenship: US
Rasidance:	SAME AS RESIDENCE	11414, 65
Poet Office Address:	DAME AD RESIDENCE	
Inventor e Signature		Date

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (continued)

ATTORNEY DOCKET NO. 200301844-2

Full Name of joint inventor:	Richard J. PALMER	C	Citizenship:	US	OIPE
Residence:	203 Maple Path Place, The Wo	odlands, Texa	as, 77382	, US	Dro E
Post Office Address:	SAME AS RESIDENCE				2 7 2005 G
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Inventor's Signature		Date			RADEMARKON
Full Name of joint inventor:	Cynthia D. MILLS		Citizenship <u>:</u>	US	
Residence:	36131 FM 149, Pinehurst, Tex	as, 77362, U	s		
Post Office Address:	SAME AS RESIDENCE	,			
SIGNED	SEPARATELY				
Inventor's Signature		Date			
Full Name of joint inventor:	John H. MARINO		Citizenship:	US	
Residence:	14610 Sandler Court, Cypress,	, Texas, 7742	29, US	=	
Post Office Address:	SMME AS RESIDENCE		· · · · · · · · · · · · · · · · · · ·		
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inventor's Signature		Date		7	
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Full Name of joint inventor:	David J. BURQUE		Citizenship:	US	
Residence:	8206 Red Church Drive, Spring	g, Texas, 773	79, US		
Post Office Address:	SAME AS RESIDENCE		<u></u>		
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Inventor's Signature		Date			
Full Name of joint inventor:	William K MILLER		Citizenship:		
Residence:	3140 Meander Circle, Colorad	o Springs, Co	olorado, 80	0917, US	<u> </u>
Post Office Address:	SAME AS RESIDENCE				
	EPARATELY				
Inventor's Signature		Date			
Full Name of joint inventor:	Eric R. EDSTROM		Citizenship:		
Residence:	15625 Timberside Court, Colo	orado Springs	, Colorado	, 80921,	US
Post Office Address:	SAME AS RESIDENCE				·
SIGNED Inventor's Signature	SEPARATELY			·	
inventor's Signature		Date			
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Full Name of joint inventor:	Alejandro R. MARTINEZ, Jr.		Citizenship:	: US	
Residence:	12718 Copeland, Houston, Te	exas, 77070,	US		
Post Office Address:	SAME AS RESIDENCE				
SIGNED Inventor's Signature	SEPARATELY	D. C			
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DECLARATION AND POWER OF ATTORNEY

ATTORNEY DOCKET NO. 200301844-2

FOR PATENT APPLICA	TION (continued)	
Full Name of joint inventor:	Richard J. PALMER	Citizenship: US
Residence:	203 Maple Path Place, The Wood	
Post Office Address:	SAME AS RESIDENCE	0178
Ted Silles Ausberg		701
Inversor a Signature		Date (DFC 2 7 2005 55)
Full Name of joint Inventor:	Cynthia D. MILLS	Citizenship: US RADEMARK
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invertor a signature		Date
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Residence:	8206 Red Church Drive, Spring,	Texas, 77379, US
Post Office Address:	SAME AS RESIDENCE	
Inversor e Signature		
arrange of digital of		Date.
<b></b>		
Full Name of Joint Inventor:	William K MILLER	Citizenship: US
Pinsidence:	3140 Meander Circle, Colorado 8	Springs, Colorado, 80917, US
Post Office Address:	SAME AS RESIDENCE	
mountains signature	K Malle	8/19/04
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15 M M		
Full Name of Joint Inventor:	Eric R. EDSTROM	Citizenship: US
Rasidence:	,	ido Springs, Colorado, 80921, US
Post Office Address:	SAME AS RESIDENCE	
Inventor's Signature		Distrib
Full Name of joint inventor:	Alejandro R. MARTINEZ, Jr.	China No. 110
Residence:	12718 Copeland, Houston, Texa	Citizenship: US
		68, / (V/V, U3
Post Office Address:	SAME AS RESIDENCE	
inventor's Signature		Date

DECLARATION AND PO FOR PATENT APPLICA		AT	TORNEY DOCKET NO. 200301844-2
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Full Name of joint inventor:	Richard J. PALMER  203 Maple Path Place, The Wood		- 5( 0
Residence:	SAME AS RESIDENCE	uianus, re	1905
Post Office Address:			
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Full Name of joint inventor:	Cynthia D. MILLS		Citizenship: US
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Inventor's Signature		Date	
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Post Office Address:	SAME AS RESIDENCE		
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Inventor's Signature		Date	
Full Name of joint inventor:	Eric R. EDSTROM		Citizenship: US
Residence:	45626 Timberaide Court, Colored	do Spring	18, <del>Colorado, 80921, US -</del>
Post Offico Address:	SAME AS RESIDENCE		
Mu K Elan	<u> </u>		17-2005
Inventor a Signature		Date	
Full Name of joint inventor:	Alejandro R. MARTINEZ, Jr.		Citizenship: US
Residence:	12718 Copeland, Houston, Texas, 7	7070, US	
Post Office Address:	SAME AS RESIDENCE	7.	
	SEPARATELY	Bata	
inventor's Signature		Date	

DECLARATION AND P FOR PATENT APPLICA	ATTORNEY DOCKET NO. 200301844-2				
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Full Name of joint inventor:	Richard J. PALMER		Citizenship: US	40	
Residence:	203 Maple Path Place, The Woo	dlands, Te	X85, 77382, US	DEC-2-7-2005	
Post Office Address:	SAME AS RESIDENCE			\z \\	
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Inventor & Signature		Date		PADEMAN	
Pull Name of joint inventor;	Cynthia D; MILLS		Citizenship: US	<del></del>	
Residence:	36131 FM 149, Pinehurst, Texa	s, 77362,	US	·	
Post Office Address:	SAME AS RESIDENCE				
Miversor's Signature	· · · · · · · · · · · · · · · · · · ·	Date			
Full Name of Joint Inventor:	tobe U. MARINO				
Residence:	John H. MARINO 14610 Sandler Court, Cypress,	Taysa 77	Citizenship <u>x US</u>		
	SAME AS RESIDENCE	1 0x35, 77	429, US		
Post Office Address:	OAME AS RESIDENCE				
Inventor a Signature		Date		<u></u>	
Full Name of joint Inventor:	David J. BURQUE		Citizenship: US		
Residence:	8206 Red Church Drive, Spring,	Texas, 77	379, US	· · · · · · · · · · · · · · · · · · ·	
Post Office Address;	SAME AS RESIDENCE		-		
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Inventor 8 Signature	•	Date			
Full Name of Joint Inventor:	William K. MILLER		Citizenship: US		
Residence:	3140 Meander Circle, Colorado	Springs, C	olorado, 80917, L	Js	
Post Office Address:	SAME AS RESIDENCE				
inventor's Signature		Date	·-··		
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Residence:	15625 Timberside Court, Colora	do Springs	Colorado, 8092	ı, us	
Post Office Address:	SAME AS RESIDENCE				
Inventor s Signature		Date			
Full Name of joint inventor:	Alejandro R. MARTINEZ, Jr.		Citizenship: US		
Rosidence;	12718 Copeland, Houston, Texa	as, 77070.			
Post Office Address:	SAME AS RESIDENCE				
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Riventops Signature	9	Date	<u> </u>		

## SERIAL NO. 10/691,350 FILED: 10/22/2003 DOCKET NO. 200301844-2

**EXHIBIT A** 

26 pages

Palmer, Rich (Storage) [richard.palmer@hp.com]

Sent:

Tuesday, May 25, 2004 3:04 PM

To:

Colleen Brown

Subject: RE: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

#### Colleen,

Here is the last known email address I had for David Burque david.j.burque@intel.com He was a Compaq employee from 1996 - 1999, but was one of the originators of the idea for Hot Plug Tape Drive while he was at Compaq.

#### Sincerely,

#### Richard J. Palmer

Group Manager, External Storage Modular Smart Array Solutions Hewlett-Packard Company

Phone: 281-518-2551 Cell: 713-304-0046

Email: richard.palmer@hp.com

Joshua 24:15

----Original Message----

From: Colleen Brown [mailto:cbrown@conleyrose.com]

Sent: Tuesday, May 25, 2004 2:58 PM

To: Burns, Greg (HOU); Palmer, Rich (Storage); Mills, Cynthia; Marino, John; 'bill.miller@quantum.com';

Edstrom, Eric; Martinez, Rene

Subject: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

Some parts of this correspondence may be protected under certain legal privileges, including the attorney-client and work product privileges. This email is only intended for the recipient listed in the address.

U.S. Patent No. 6,665,763; Serial No. 09/630,246

Formal Title: Hot Plug Storage Drive

Gregory E. Burns, Richard J. Palmer, Cynthia D. Mills, Inventors:

\*\*\*\*\*\*

John H. Marino, David J. Burque, William K. Miller,

Eric R. Edstrom and Rene Martinez

Group:

ISSG Division: STG

Compaq: Houston

200301844-1 HP PDNO: CPQ Ref. No.: P00-3198 Our Ref. No.: 1662-56100 Resp. Atty.: Philip S. Lyren

Attached is a copy of the issued patent for the above-identified matter. Also attached is a Declaration/Power of Attorney that each of the inventors must sign. The attached Statement and Assignment should be signed as indicated by Mr. Martinez. Since Mr. Martinez is employed by HP, the Assignment does not need to be notarized.

Once the attached documents are signed, please return them to me via FAX at 713-238-8008.

I do not have an email address for Mr. David Burque and he has apparently left HP. If anyone knows of a way to reach him, please forward this email to him and copy me on the same. Thank you.

Should you have any questions, please do not hesitate to contact me.

<<US06665763.pdf>> <<Assign HPDC.pdf>> <<Decpwr.pdf>> <<STMT LACK DECEPT INT 1662-56100.DOC>>

Colleen F. Brown Legal Assistant CONLEY ROSE, P.C. 600 Travis, Suite 7100 Houston, TX 77002-2912 Main No.: (713) 238-8000 Fax No.: (713) 238-8008

Direct Dial: (713) 632-1653

Email: cbrown@conleyrose.com

From:

Colleen Brown

Sent:

Tuesday, May 25, 2004 3:08 PM

To:

'david.i.burque@intel.com'

Subject:

HP PDNO 200301844-2 DOCUMENTS TO ADD INVENTOR (1662-56101)

#### Mr. Burque:

Only the Declaration needs your signature. The other documents are attached for Mr. Martinez's signature.

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U.S. Continuation Patent Application No. 10/691,350 (Cont. of 09/630,246)

Formal Title:

Hot Plug Storage Drive

Inventors:

Gregory E. Burns, Richard J. Palmer, Cynthia D. Mills,

John H. Marino, David J. Burque, William K. Miller.

Eric R. Edstrom and Rene Martinez

HP PDNO:

200301844-2

Our Ref. No.:

1662-56101

Resp. Atty.:

Philip S. Lyren

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Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

Email:

cbrown@conleyrose.com

From:	
Sent:	

Mail Delivery Subsystem [MAILER-DAEMON@talaria.sc.intel.com]

Tuesday, May 25, 2004 3:09 PM

To: Subject: cbrown@conleyrose.com



Return receipt





ATT03603.TXT (512 ATT03604.TXT (1

B)

KB)

The original message was received at Tue, 25 May 2004 20:09:20 GMT from

hermes.sc.intel.com [10.3.252.3]

----- The following addresses had successful delivery notifications ----- <david.j.burque@intel.com> (relayed to non-DSN-aware mailer)

(expanded from: <david.j.burque@intel.com>)

----- Transcript of session follows ----- <david.j.burque@intel.com>... relayed; expect no further notifications

From:

Colleen Brown

Sent:

Monday, June 07, 2004 2:14 PM

To:

'david.i.burque@intel.com'

Subject:

FW: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

Importance:

High

#### Mr. Burque:

Have you had a chance to sign the Declarations previously emailed to you? If not, I have attached both Declarations to this email and ask that you sign them and return them to me via fax. Thank you.

#### Colleen

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Sent:

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To:

'david.j.burque@intel.com'

Subject:

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Sent:

Tuesday, May 25, 2004 2:58 PM

To:

'greg.burns@hp.com'; 'richard.palmer@hp.com'; 'cynthia.mills@hp.com'; 'john.marino@hp.com'; 'bill.miller@quantum.com';

'Edstrom, Eric'; 'Martinez, Rene'

Subject:

HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

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U.S. Patent No. 6,665,763; Serial No. 09/630,246

Formal Title:

Hot Plug Storage Drive

Inventors:

Gregory E. Burns, Richard J. Palmer, Cynthia D. Mills, John H. Marino, David J. Burque, William K. Miller,

\*\*\*\*\*\*\*

Eric R. Edstrom and Rene Martinez

Group:

ISSG

Division: STG

Compaq: Houston

HP PDNO: CPQ Ref. No.: P00-3198

200301844-1 1662-56100

Our Ref. No.: Resp. Atty.:

Philip S. Lyren

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Colleen F. Brown

Legal Assistant

CONLEY ROSE, P.C. 600 Travis, Suite 7100

Houston, TX 77002-2912
- Main No.: (713) 238-8000
Fax No.: (713) 238-8008
Direct Dial: (713) 632-1653
Email: cbrown@conleyrose.com

From:

Mail Delivery Subsystem [MAILER-DAEMON@petasus.sc.intel.com]

Sent:

Monday, June 07, 2004 2:16 PM

To: Subject: cbrown@conleyrose.com

2.000

Return receipt





ATT11189.TXT (512 ATT11190.TXT (1

B)

KB)

The original message was received at Mon, 7 Jun 2004 19:15:39 GMT from

caduceus.sc.intel.com [10.3.252.4]

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(expanded from: <david.j.burque@intel.com>)

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From:

Burque, David J [david.j.burque@intel.com] monday, June 07, 2004 2:15 PM

Sent:

To:

Colleen Brown

Subject:

Out of Office AutoReply: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR

(1662-56100)

I'm out of the office on EPU visits. Please contact Babu Narasimhan at 480-552-2717 (Babu.Narasimhan@intel.com) if you require immediate assistance. Thanks, Dave

From: Burque, David J [david.j.burque@intel.com]

Sent: Wednesday, July 07, 2004 6:12 PM

To: Colleen Brown

Subject: RE: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

Would there ever be a chance for some type of remuneration? Basically I never got any awards for this patent.

David Burque

Embedded i.A Strategic Technical Marketing Engineer Intel Corporation
mailto:David.J.Burque@Intel.com
mailto:dburque@cox.net
480-552-2584 (work)
480-554-7674 (fax)

From: Colleen Brown [mailto:cbrown@conleyrose.com]

Sent: Monday, June 07, 2004 12:14 PM

To: Burque, David J

Subject: FW: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

Importance: High

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Colleen

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HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100) Subject:

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Group:

ISSG Division: STG

Compag: Houston

HP PDNO: 200301844-1 CPQ Ref. No.: P00-3198 Our Ref. No.: 1662-56100 Resp. Atty.: Philip S. Lyren

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Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

cbrown@conleyrose.com Email:

From:

Lyren, Philip S. [philip-s.lyren@hp.com]

Sent:

Thursday, July 08, 2004 9:33 AM

To: Subject:

Colleen Brown; McKerley, Carrie; david j. burque@intel.com RE: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

David: The parent patent issued on December 16, 2003. Were you an HP employee on this date?

HP did file a continuation application on October 13, 2003; however, HP does not make patent reward payments to inventors for continuations.

Philip Lyren

From: Colleen Brown [mailto:cbrown@conleyrose.com]

Sent: Thu 7/8/2004 9:13 AM

To: Lyren, Philip S.; McKerley, Carrie

Subject: FW: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

Please respond to the inventor's question below.

#### Colleen

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From: Burgue, David J [mailto:david.j.burque@intel.com]

Sent: Wednesday, July 07, 2004 6:12 PM

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Embedded iA Strategic Technical Marketing Engineer
Intel Corporation
mailto:David.J.Burque@Intel.com <mailto:David.J.Burque@Intel.com>
mailto:dburque@cox.net <mailto:dburque@cox.net480-552-2584>
480-552-2584 (work)
480-554-7674 (fax)

From: Colleen Brown [mailto:cbrown@conleyrose.com]

Sent: Monday, June 07, 2004 12:14 PM

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Importance: High

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Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

Email: cbrown@conleyrose.com

From: Burque, David J [david.j.burque@intel.com]

Sent: Thursday, July 08, 2004 9:37 AM

To: Lyren, Philip S.; Colleen Brown; McKerley, Carrie

Subject: RE: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

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David Burque

Embedded iA Strategic Technical Marketing Engineer Intel Corporation
mailto:David.J.Burque@Intel.com
mailto:dburque@cox.net
480-552-2584 (work)
480-554-7674 (fax)

From: Lyren, Philip S. [mailto:philip-s.lyren@hp.com]

Sent: Thursday, July 08, 2004 7:33 AM

To: Colleen Brown; McKerley, Carrie; Burque, David J

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'Martinez, Rene'

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\*\*\*\*\*\*

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U.S. Patent No. 6,665,763; Serial No. 09/630,246

Formal Title: Hot Plug Storage Drive

Message Page 3 of 3

Inventors: Gregory E. Burns, Richard J. Palmer, Cynthia D. Mills,

John H. Marino, David J. Burque, William K. Miller,

Eric R. Edstrom and Rene Martinez

Group: ISSG Division: STG Compaq: Houston

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Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

Email: cbrown@conleyrose.com

From:

Lyren, Philip S. [philip-s.lyren@hp.com]

Sent:

Thursday, July 08, 2004 9:51 AM

To:

Burque, David J; Colleen Brown; McKerley, Carrie

Subject:

RE: HP PDNO 200301844-1 DOCUMENTS FOR ADDING INVENTOR (1662-56100)

David: Here's why I think you were never rewarded:

The parent application (now USPN 6,665,763) was filed on June 31, 2000. Unfortunately, you were no longer a Compaq employee on this date (i.e., you left in May 2000). The policy is you must be a current employee on the day the patent is filed or the day the patent issues to receive payment under the reward program.

Sincerely,

Philip Lyren

P.S. The continuation was not filed until years after you left.

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Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

cbrown@conleyrose.com Email:

From:

Colleen Brown

Sent:

Thursday, August 19, 2004 1:43 PM

To:

'Burque, David J'; 'Marino, John'

Subject:

HP PDNO 200301844-1/2 DECLARATIONS FOR YOUR SIGNATURE & RETURN (1662-

56100/56101)

Importance:

High

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Group:

ISSG

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Resp. Atty.:

Philip S. Lyren

#### Dear Messrs. Burque and Marino:

Attached hereto is a Declaration that each inventor must sign and return to us for filing with the PTO so that Rene Martinez can be added as an inventor. These Declarations and their related patent applications have been forwarded to you before. We have received the signed documents back from all of the other inventors. Please let me know once the Declaration has been signed and faxed back to me. Thank you.

Colleen F. Brown Legal Assistant CONLEY ROSE, P.C. 600 Travis, Suite 7100 Houston, TX 77002-2912 Main No.: (713) 238-8000 Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

Email:

cbrown@conleyrose.com

From: McKerley, Carrie [carrie.mckerley@hp.com]

Sent: Friday, September 03, 2004 9:08 AM

To: Colleen Brown

Subject: RE: HP PDNO 200301844-1/2 (1662-56100/56101); Office & Home Telephone numbers needed

for

Please see below. If you need anything else, please let me know.

-Carrie

----Original Message-----

From: Colleen Brown [mailto:cbrown@conleyrose.com]

Sent: Thursday, September 02, 2004 4:30 PM

To: McKerley, Carrie

**Subject:** HP PDNO 200301844-1/2 (1662-56100/56101); Office & Home Telephone numbers needed for:

**Importance:** High

David J. Burque 8206 Redchurch Drive Spring, TX 77379 281.251.8517 (HM)

This guy was pmCompag. If this information is not current, let me know and I'll see if HR has anything different.

John H. Marino
14610 Sandler Court
Cypress, TX 77429
+1 281 518 8573 (WK)
john.marino@hp.com
When you talk to this guy, please confirm his citizenship is US.

Eric R. Edstrom 15625 Timberside Court Colorado Springs, CO 80921 +1 719 548 2285 (WK) eric.edstrom@hp.com

From: McKerley, Carrie [carrie.mckerley@hp.com]

Sent: Friday, September 03, 2004 9:25 AM

To: Colleen Brown

Subject: RE: HP PDNO 200301844-1/2 (1662-56100/56101); Office & Home Telephone numbers needed

for

No, it looks like he left Compaq prior to the merger.

-Carrie

----Original Message-----

From: Colleen Brown [mailto:cbrown@conleyrose.com]

Sent: Friday, September 03, 2004 9:20 AM

To: McKerley, Carrie

Subject: RE: HP PDNO 200301844-1/2 (1662-56100/56101); Office & Home Telephone numbers needed for:

Mr. Burque is no longer with HP?

----Original Message----

From: McKerley, Carrie [mailto:carrie.mckerley@hp.com]

Sent: Friday, September 03, 2004 9:08 AM

To: Colleen Brown

Subject: RE: HP PDNO 200301844-1/2 (1662-56100/56101); Office & Home Telephone numbers needed

for:

Please see below. If you need anything else, please let me know.

-Carrie

----Original Message----

From: Colleen Brown [mailto:cbrown@conleyrose.com]

Sent: Thursday, September 02, 2004 4:30 PM

To: McKerley, Carrie

**Subject:** HP PDNO 200301844-1/2 (1662-56100/56101); Office & Home Telephone numbers needed for:

Importance: High

David J. Burque 8206 Redchurch Drive Spring, TX 77379 281.251.8517 (HM)

This guy was pmCompag. If this information is not current, let me know and I'll see if HR has anything

different.

John H. Marino 14610 Sandler Court Cypress, TX 77429 +1 281 518 8573 (WK) john.marino@hp.com

When you talk to this guy, please confirm his citizenship is US.

Eric R. Edstrom 15625 Timberside Court Colorado Springs, CO 80921 +1 719 548 2285 (WK) eric.edstrom@hp.com

### THIS PAGE BLANK (USPTO)

Burque, David J [david.j.burque@intel.com] From:

Friday, October 01, 2004 2:03 PM Sent:

To: Palmer, Rich (Storage)

Cc: Colleen Brown; Marino, John

Subject: RE: HP PDNO 200301844-1/2 DECLARATIONS FOR YOUR SIGNATURE & RETURN (1662-

56100/56101)

Rich, I still haven't heard any responses from you on if you would consider any form of remuneration or award for this patent as I've received no recognition of this.

David Burque

Strategic Product Marketing Engineer Consumer Electronics Group Intel Corporation mailto:David.J.Burque@Intel.com mailto:dburque@cox.net 480-552-2584 (work) 480-554-7674 (fax)

From: Colleen Brown [mailto:cbrown@conleyrose.com]

**Sent:** Thursday, August 19, 2004 11:43 AM

To: Burque, David J; 'Marino, John'

Subject: HP PDNO 200301844-1/2 DECLARATIONS FOR YOUR SIGNATURE & RETURN (1662-56100/56101)

Importance: High

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U.S. Patent No. 6,665,763; Serial No. 09/630,246

Formal Title: Hot Plug Storage Drive

Inventors: Gregory E. Burns, Richard J. Palmer, Cynthia D. Mills,

\*\*\*\*\*\*\*\*\*

John H. Marino, David J. Burque, William K. Miller,

Eric R. Edstrom and Rene Martinez

ISSG Division: STG Group:

Compaq: Houston

HP PDNO: 200301844-1 CPQ Ref. No.: P00-3198 Our Ref. No.: 1662-56100 Resp. Atty.: Philip S. Lyren

Dear Messrs. Burgue and Marino:

Attached hereto is a Declaration that each inventor must sign and return to us for filing with the PTO so that Rene

Martinez can be added as an inventor. These Declarations and their related patent applications have been forwarded to you before. We have received the signed documents back from all of the other inventors. Please let me know once the Declaration has been signed and faxed back to me. Thank you.

<<Decpwr.pdf>> <<Decpwr.pdf>> Colleen F. Brown Legal Assistant CONLEY ROSE, P.C. 600 Travis, Suite 7100 Houston, TX 77002-2912 Main No.: (713) 238-8000

Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

Email: cbrown@conleyrose.com

Page 1 of 2

#### Colleen Brown

From:

Colleen Brown

Sent:

Monday, April 25, 2005 12:45 PM

To:

'Burque, David J'

Subject:

RE: HP PDNO 200301844-1/2 DECLARATIONS FOR YOUR SIGNATURE & RETURN (1662-

56100/56101)

Importance: High

#### Mr. Burque:

I have not received the signed Declarations back from you. Do you plan to sign and return them to me? I believe your residential address is incorrect. Please line-through and handwrite your new address and initial the change.

Colleen F. Brown Legal Assistant CONLEY ROSE, P.C. 600 Travis, Suite 7100 Houston, TX 77002-2912 Main No.: (713) 238-8000 Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

Email:

cbrown@conleyrose.com

#### ----Original Message-----

From: Burque, David J [mailto:david.j.burque@intel.com]

Sent: Friday, October 01, 2004 2:03 PM

**To:** Palmer, Rich (Storage) **Cc:** Colleen Brown; Marino, John

Subject: RE: HP PDNO 200301844-1/2 DECLARATIONS FOR YOUR SIGNATURE & RETURN (1662-

56100/56101)

Rich, I still haven't heard any responses from you on if you would consider any form of remuneration or award for this patent as I've received no recognition of this.

# David Burque Strategic Product Marketing Engineer Consumer Electronics Group Intel Corporation mailto:David.J.Burque@Intel.com mailto:dburque@cox.net

mailto:dburque@cox.net 480-552-2584 (work) 480-554-7674 (fax)

From: Colleen Brown [mailto:cbrown@conleyrose.com]

Sent: Thursday, August 19, 2004 11:43 AM

To: Burque, David J; 'Marino, John'

Subject: HP PDNO 200301844-1/2 DECLARATIONS FOR YOUR SIGNATURE & RETURN (1662-

56100/56101) **Importance:** High

Message Page 2 of 2

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\*\*\*\*\*\*\*

U.S. Patent No. 6,665,763; Serial No. 09/630,246

Formal Title: Hot Plug Storage Drive

Inventors: Gregory E. Burns, Richard J. Palmer, Cynthia D. Mills,

John H. Marino, David J. Burque, William K. Miller,

Eric R. Edstrom and Rene Martinez

Group: ISSG Division: STG Compaq: Houston

HP PDNO: 200301844-1 CPQ Ref. No.: P00-3198 Our Ref. No.: 1662-56100 Resp. Atty.: Philip S. Lyren

Dear Messrs. Burque and Marino:

Attached hereto is a Declaration that each inventor must sign and return to us for filing with the PTO so that Rene Martinez can be added as an inventor. These Declarations and their related patent applications have been forwarded to you before. We have received the signed documents back from all of the other inventors. Please let me know once the Declaration has been signed and faxed back to me. Thank you.

<<Decpwr.pdf>> <<Decpwr.pdf>>
Colleen F. Brown
Legal Assistant
CONLEY ROSE, P.C.
600 Travis, Suite 7100
Houston, TX 77002-2912

Main No.: (713) 238-8000 Fax No.: (713) 238-8008 Direct Dial: (713) 632-1653

Email: cbrown@conleyrose.com

## SERIAL NO. 10/691,350 FILED: 10/22/2003 DOCKET NO. 200301844-2

**EXHIBIT B** 

2 pages

INTELLECTUAL PROPERTY LAW PATENTS, TRADEMARKS, COPYRIGHTS AND UNFAIR COMPETITION

JONATHAN M. HARRIS

#### CONLEY ROSE

A PROFESSIONAL CORPORATION JPMORGAN CHASE TOWER 600 TRAVIS, SUITE 7100 HOUSTON, TEXAS 77002-2912 TELEPHONE: (713) 238-8000

FACSIMILE: (713) 238-8008

AUSTIN OFFICE (512) 391-1900

DALLAS OFFICE (972) 731-2288

Direct Dial No. (713) 238-8045 E-mail: jharris@conleyrose.com

PRIVILEGED AND CONFIDENTIAL

August 4, 2005

#### VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED

Mr. David Burque 20 Easthampton Road

Re:

Holyoke, MA 01040-6845

U.S. Patent No. 6,889,281

Entitled:

Hot Plug Storage Drive

Inventors:

Gregory E. Burns Richard J. Palmer, Cynthia D. Mills,

John H. Marino, David J. Burque, William K. Miller,

Eric R. Edstrom and Rene Martinez

HP PDNO:

200301844-2

Our Ref. No.: 1662-56101

Resp. Atty.: Philip S. Lyren

#### Dear Mr. Burque:

Hewlett-Packard Company has instructed us to add Rene Martinez as an inventor for the above-referenced issued patent. In order to do so, the U.S. Patent and Trademark Office requires each of the inventors to sign a new Declaration/Power of Attorney. Therefore, enclosed herewith is a copy of the above-identified issued patent along with a new Declaration. Please sign and date the Declaration where indicated and return it to me via FAX at (713) 238-8008 by Monday, August 15, 2005. If we do not receive the signed Declaration by then, we will assume you are refusing to join in the application.

Should you have any questions, please do not hesitate to contact me.

Very truly yours,

Ionathan M. Harris

JMH:cfb Enclosures

CERTIFIED MAIL NO. 7003 1680 0007 4556 8486

	U.S. Postal S				
486	CERTIFIED (Domestic Mail O				Provided)
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	PS Form 3800, June 200	2		See Reve	se for Instructions

## SERIAL NO. 10/691,350 FILED: 10/22/2003 DOCKET NO. 200301844-2

**EXHIBIT C** 

1 page

1042-54101			,•
• Complete items 1 and/or 2 for additional services. • Complete items 3, and 4a & b. • Print your name and address on the reverse of this form so that return this card to you. • Attach this form to the front of the mailpiece, or on the back if does not permit. • Write "Return Receipt Requested" on the mailpiece below the article. • The Return Receipt will show to whom the article was delivered and delivered.	space cle number. nd the date	Consult postmaster for fee.	" Receipt Service.
20 Easthampton Rd. Holyoke, MA 01040-6845	☐ Regi	stered	you for using .Re
5. Signature (Addressee) 6. Signature (Agent) PS Form 3811; December 1991 4Ú.š. GPO: 1693 4352	and	fée is paid)	Thank
	SENDER:  • Complete items 1 and/or 2 for additional services.  • Complete items 3, and 4a & b.  • Print your name and address on the reverse of this form so tha return this card to you.  • Attach this form to the front of the mailpiece, or on the back it does not permit.  • Write "Return Receipt Requested" on the mailpiece below the article was delivered at delivered.  3. Article Addressed to:  **David Burque**  **Jo Easthampton Rd**  **Holyoke**  **DOUTO-6845*  5. Signature (Addressee)  **Complete items 1 and/or 2 for additional services.  **OLOHO-6845*  6. Signature (Addressee)	SENDER:  • Complete items 1 and/or 2 for additional services.  • Complete items 3, and 4a & b.  • Print your name and address on the reverse of this form so that we can return this card to you.  • Attach this form to the front of the mailpiece, or on the back if space does not permit.  • Write "Return Receipt Requested" on the mailpiece below the article number.  • The Return Receipt will show to whom the article was delivered and the date delivered.  3. Article Addressed to:    TO DAVI & BURGUE ADD.   4b. Ser.  Holyoke, MA  Cert.  OIOHO-6845   • Signature (Addressee)  • Signature (Addressee)  • Addressee)  • Addressee (Addressee)  • Signature (Addressee)	SENDER:  Complete items 1 and/or 2 for additional services. Complete items 3, and 4a & b. Print Your name and address on the reverse of this form so that we can return this card to you. Attach this form to the front of the mailpiece, or on the back if space does not permit. Write "Return Receipt Requested" on the mailpiece below the article number. The Return Receipt will show to whom the article was delivered and the date delivered.  Article Addressed to:  Wr. David Burque:  Ab. Service Type Registered Insured  Ab. Service Type Registered COD Express Mail Return Receipt for Merchandise  To Date of Delivery  Signature (Addressee)  Addressee's Address (Only if requested and fee is paid)

UNITED STATES POSTAL SERVICE

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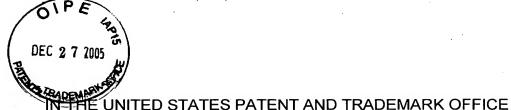
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7100 JPMorgan Chase Tower
600 Travis Street Houston, Texas 77002

Haallaallaadadhilaallaabhabhabhadall

COZB



Confirmation No.: 6651 Applicants: Gregory E. BURNS et al.

Group Art Unit: 2111 Serial No.: 10/691,350

 Filed: 10/22/2003 Examiner: G. A. Auve

Hot Plug Storage Drive Docket No.: 200301844-2 For:

#### STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF NONSIGNING INVENTOR (37 C.F.R. 1.47)

Mail Stop Petition Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

This statement is made as to the exact facts that are relied upon to establish the diligent effort made to secure the execution of the Declaration by the nonsigning inventor for the above-identified patent application before deposit thereof in the U.S. Patent and Trademark Office (PTO).

Because signing on behalf of the nonsigning inventor is by a person or entity showing a sufficient proprietary interest, this statement also recites facts as to why this action was necessary to preserve the rights of the parties or to prevent irreparable damage.

#### **Identification of Person Making this Statement of Facts**

Colleen F. Brown Legal Assistant Conley Rose, P.C. P.O. Box 3276 Houston, Texas 77253-3276

#### B. **Last Known Addresses of the Non-Signing Inventor**

Mr. David Burque 20 Easthampton Road Holyoke, Massachusetts 01040-6845

## C. Details of Refusal of Non-Signing Inventors to Sign Application Papers

- 1. The above-identified patent application was filed with the PTO on October 22, 2003, accompanied by a signed Declaration.
- 2. In February of 2004, it was brought to the Assignee's (Hewlett-Packard Development Company, L.P.) attention that Alejandro (Rene) R. Martinez, Jr., was omitted as an inventor.
- 3. A copy of the various email correspondence between myself and Mr. Burque regarding adding Mr. Martinez as an inventor and asking him to sign the Declaration is attached as Exhibit A.
- 4. On August 4, 2005, I mailed to Mr. Burque via certified mail, return receipt requested, a Declaration and copy of the issued patent for this matter to Mr. Burque and asked him once again for his signature (Exhibit B). I asked Mr. Burque to return the executed Declaration to me by August 15, 2005.
- 5. The return receipt postcard was returned to Conley Rose, P.C. indicating that the package mailed August 4, 2005, to Holyoke, Massachusetts, was delivered on August 8, 2005 (Exhibit C).
- 6. A Declaration signed by Mr. Burque has not been received from him after these repeated attempts to reach him

This statement is being made by the following person having first-hand knowledge of the facts recited therein.

12-0

Colleen F. Brown

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